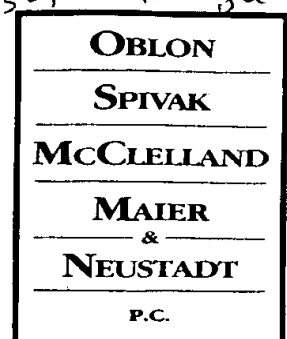


#301 Ref 802  
Refund  
04-26-04



March 15, 2004

UNITED STATES PATENT AND TRADEMARK OFFICE  
Box 16  
Washington, DC 20231

ATTORNEYS AT LAW  
KATHLEEN A. MORSBERGER  
CONTROLLER  
(703) 412-6494  
KMORSBERGER@OBLON.COM

Attn: Frank Lebron  
Refund Department

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a portion of our deposit account statement of January, 2004. See the highlighted charge on **serial number 09/623,872 for \$130.00 on fee code #1460.**

An RCE was filed on December 23, 2003, along with the necessary Petition to Withdraw from Issue. **The petition fee of \$180.00 was paid at the time of the filing.**

**Please review this application and kindly refund \$130.00 to deposit account 150030.** If you have any questions, please contact Debbie Noel at (703) 412-6296.

With best regards,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Debra J. Noel  
Accounting Department

Enclosures

MASTER

United States  
Patent and  
Trademark Office

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PageDeposit Account Statement

Requested Statement Month: January 2004  
 Deposit Account Number: 150030  
 Name: NORMAN F. OBLON  
 Attention:  
 Address: 1940 DUKE STREET  
 City: ALEXANDRIA  
 State: VA  
 Zip: 22314

| DATE  | SEQ | POSTING<br>REF TXT | ATTORNEY<br>DOCKET<br>NBR | FEE<br>CODE | AMT         | BAL    |
|-------|-----|--------------------|---------------------------|-------------|-------------|--------|
| 01/02 | 1   | 10743499           | 246619US-3                | 1202        | \$18.00     | \$30.8 |
| 01/02 | 1   | 10678111           | 243620US0CONT             | 1202        | -\$414.00   | \$30.8 |
| 01/02 | 1   | 10318112           | 231845US3                 | 1460        | \$130.00    | \$30.8 |
| 01/02 | 6   | 09176315           | 116120US                  | 9204        | -\$320.00   | \$31.8 |
| 01/02 | 36  | 09128761           | 0057-2335-2               | 1202        | -\$90.00    | \$31.8 |
| 01/02 | 39  | 09530972           | 10130-0006-0 PCT          | 9204        | -\$100.00   | \$31.8 |
| 01/02 | 40  | 09372607           | 0059-1153-0               | 1806        | -\$240.00   | \$31.8 |
| 01/02 | 41  | 09380594           | 0875-0171-6               | 1253        | -\$890.00   | \$32.8 |
| 01/02 | 62  | 10656179           | 242567US0CONT             | 1051        | \$130.00    | \$32.8 |
| 01/02 | 369 | 10416537           | 238202US0PCT              | 1614        | \$2.00      | \$32.8 |
| 01/05 | 12  | 09945826           | 213623US2                 | 1202        | \$18.00     | \$32.8 |
| 01/05 | 12  | 09900052           | 210685 US2                | 1201        | -\$168.00   | \$32.8 |
| 01/05 | 19  | 10679493           | 242773US3DIV              | 1202        | \$18.00     | \$32.8 |
| 01/05 | 161 | 0141930334         |                           | 8014        | \$100.00    | \$32.8 |
| 01/06 | 1   | 10414015           | 236498US6 DIV             | 1806        | \$180.00    | \$32.8 |
| 01/06 | 8   | 09813988           | 205040US0                 | 1202        | \$18.00     | \$32.8 |
| 01/06 | 20  | 09908618           | 211633US0CIP              | 1460        | \$130.00    | \$32.8 |
| 01/06 | 21  | 09908618           | 211633US0CIP              | 1801        | \$770.00    | \$31.8 |
| 01/07 | 1   | 09147763           | 0039-7001-2S              | 1251        | \$110.00    | \$31.8 |
| 01/07 | 3   | 09220691           | 0327-0759-0               | 1402        | \$330.00    | \$30.8 |
| 01/07 | 42  | E-                 |                           | 9203        | -\$1,000.00 | \$31.8 |
| 01/08 | 1   | 10450720           | 239186US0PCT              | 1616        | -\$280.00   | \$32.8 |
| 01/08 | 2   | 09973712           | 214382USOX                | 1806        | \$180.00    | \$31.8 |
| 01/08 | 40  | 10394571           | 235792US0CONT             | 1202        | \$22.00     | \$31.8 |
| 01/08 | 42  | 10394571           | 235792US0CONT             | 1051        | \$130.00    | \$31.8 |
| 01/08 | 91  | 10415889           | 237481US3PCT              | 1616        | \$290.00    | \$31.8 |
| 01/09 | 2   | 09604906           | 193752US2                 | 1251        | \$110.00    | \$31.8 |
| 01/09 | 29  | 5567842            | 00501256-0                | 1811        | -\$100.00   | \$31.8 |

|           |                |                        |      |             |        |
|-----------|----------------|------------------------|------|-------------|--------|
| 01/09 30  | 5618079        | 2878-0032-3 PCT FWC    | 1811 | -\$100.00   | \$31.5 |
| 01/09 134 | 29175823       | 233470US3DES           | 8001 | \$30.00     | \$31.5 |
| 01/09 487 | 10072996       | 219410US8              | 1501 | \$1,330.00  | \$30.5 |
| 01/09 488 | 10072996       | 219410US8              | 1504 | \$300.00    | \$29.5 |
| 01/12 7   | 10018857       | 217317US0PCT           | 1201 | \$86.00     | \$29.5 |
| 01/12 7   | 10297928       | 231646US6PCT           | 1617 | \$130.00    | \$29.5 |
| 01/12 23  | 09623872       | 196838US0PCT           | 1460 | \$130.00    | \$29.5 |
| 01/12 127 | 0142040887     | 235370CNMXXKR(FF DEPT) | 8014 | \$75.00     | \$29.5 |
| 01/12 184 | 5920861        |                        | 8009 | \$680.00    | \$28.5 |
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| 01/12 186 | 5920861        |                        | 8024 | \$160.00    | \$28.5 |
| 01/12 187 | 5982891        |                        | 8008 | \$200.00    | \$28.5 |
| 01/12 188 | 6138119        |                        | 8008 | \$200.00    | \$28.5 |
| 01/12 189 | 6253193        |                        | 8008 | \$200.00    | \$27.5 |
| 01/12 276 | 60456549       | 232161US               | 8007 | \$20.00     | \$27.5 |
| 01/13 2   | 10082080       | 220035US0              | 1806 | \$180.00    | \$27.5 |
| 01/13 43  | E-             |                        | 9203 | -\$1,000.00 | \$28.5 |
| 01/13 142 | 60449876       | 233687US90PROV/GET     | 8007 | \$20.00     | \$28.5 |
| 01/13 179 | 60454525       | NO234205US90PROV/GET   | 8007 | \$40.00     | \$28.5 |
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| 01/14 1   | 10296237       | 231537US2PCT           | 1615 | \$54.00     | \$28.5 |
| 01/14 2   | 10117080       | 221612US3              | 1806 | \$180.00    | \$28.5 |
| 01/14 3   | 09786336       | 204271US2PCT           | 2253 | \$210.00    | \$28.5 |
| 01/14 13  | 10312862       | 232463US0PCT           | 1460 | \$130.00    | \$27.5 |
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| 01/16 14  | E-             |                        | 9203 | -\$1,000.00 | \$27.5 |
| 01/16 15  | E-             |                        | 9203 | -\$1,000.00 | \$28.5 |
| 01/16 26  | 10625730       | 240564US               | 9204 | -\$375.00   | \$28.5 |
| 01/16 72  | 10757525       | 247764US0CONT          | 1203 | \$126.00    | \$28.5 |
| 01/20 1   | 09032067       | 016307000              | 1806 | -\$240.00   | \$28.5 |
| 01/20 2   | 10450702       | 239413US0XPCT          | 1614 | \$16.00     | \$28.5 |
| 01/20 7   | 09339907       | 0023-1701-0            | 1201 | -\$312.00   | \$28.5 |
| 01/20 60  | 10483622       | 241589US6XPCT          | 1614 | \$6.00      | \$28.5 |
| 01/20 107 | 10758260       | 247792US0X             | 1202 | \$18.00     | \$28.5 |
| 01/20 134 | 10258743       | 229761US3XPCT          | 2615 | \$135.00    | \$28.5 |
| 01/20 325 | PCT/US03/16229 | 224040WO               | 8007 | \$20.00     | \$28.5 |
| 01/21 1   | 10472940       | 243271US0PCT           | 1616 | \$280.00    | \$28.5 |
| 01/21 1   | 10367871       | 234220US2              | 1202 | \$36.00     | \$28.5 |
| 01/21 1   | 09668507       | SONY U045 203788US     | 1252 | \$420.00    | \$28.5 |
| 01/21 450 | 6312552        | 196329US0GET           | 8013 | \$25.00     | \$27.5 |
| 01/22 3   | 10028786       | 217280S0CON1           | 1202 | \$108.00    | \$27.5 |

Dept.: CHEMICAL

SMM&N File No. 196838US0PCT

By: NFO/JK/lcd

Patent No. 6,429,216

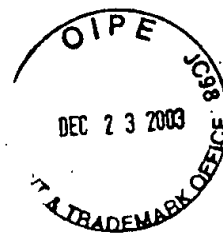
In the matter of the Application of: Frank J. CARROLL, et al.

For: NOVEL OPIATE COMPOUNDS, METHODS OF MAKING AND  
METHODS OF USE

Due Date: December 23, 2003

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- Check for \$950.00
- RCE Transmittal
- Petition to Withdraw from Issue
- Comments on Information Disclosure Statement
- Information Disclosure Statement
- PTO-1449
- Copy of European Office Action
- Cited References 2



OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, PC  
1940 DUKE STREET  
ALEXANDRIA, VA 22314

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VIRGINIA  
68-141/510

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301008  
-0868

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JA 12312003 PXT:9  
DDAC 12312003

Docket No.: 196838US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Frank I. CARROLL, et al.

SERIAL NO: 09/623,872

GAU: 1625

FILED: October 17, 2000

EXAMINER: C. AULAKH

FOR: NOVEL OPIATE COMPOUNDS, METHODS OF MAKING AND METHODS OF USE

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

This is a request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

Submission required under 37 C.F.R. §1.114

Previously Submitted:

- ☐ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on
- ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on

Enclosed:

- ☐ Amendment/Reply
- ☒ Information Disclosure Statement (IDS) w/copy of European Office Action and references (2)
- ☒ Other: Petition to Withdrawn from Issue; & Comments on Information Disclosure Statement

| FEES   | RATE     | CALCULATIONS |
|--|----------|--------------|
| <input type="checkbox"/> Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of months. | \$130.00 | \$0.00       |
| <input checked="" type="checkbox"/> RCE Fee required under 37 C.F.R. §1.17(e)  | \$770.00 | \$770.00     |
| <input type="checkbox"/>   |          | \$0.00       |
| <input checked="" type="checkbox"/> Petition to Withdrawn from Issue   |          | \$180.00     |
| TOTAL OF ABOVE CALCULATIONS:   |          | \$950.00     |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING AS SMALL ENTITY   |          | \$0.00       |
| TOTAL:   |          | \$950.00     |

- ☒ A check in the amount of \$950.00 is enclosed
- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 CFR 1.136, and any additional fees required under 37 CFR 1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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Customer Number

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